THE PRE-TEST

- Conduct of the CBMS Surveys and Pre-test of Rider Questionnaire on Shocks and Coping Strategies: November 2010
- Coverage: 13 GFC sentinel sites
- Reference period: Since September 2009
- Rider Questionnaire: Consists of 2 pages and captures 4 major types of shocks (i.e., natural, economic, social and life cycle)
- Data Collection Manuals: 23 pages
The Rider Questionnaire (Page 1)

CBMS Risk and Vulnerability Rider Questionnaire (English Version)

Name of Respondent: ____________________________
ID No.: ____________________________

A. NATURAL SHOCKS

| (1) Since September 2009, has the household been affected by any of the following? 1-Yes 2-No | 1. Typhoon/storm? | 8. Fire in the house/business/property? |
| (2) Since September 2009, has the household been affected by any of the following? 1-Yes 2-No | 2. Flood/landslide? | 9. Forest fire/landslide? |
| | 4. Earthquake? | 11. Tsunami? |
| | 5. Flood/landslide? | 12. Pest infestation (i.e., insect, disease, weeds)? |

IF RESPONSES TO ALL QUESTIONS IN (1) WERE “2” GO TO (2), OTHERWISE PROCEED TO (2.1)

B. ECONOMIC SHOCKS

| (1) Since September 2009, has the household been affected by any of the following? 1-Yes 2-No | 1. Business closing? | 6. Bankruptcy of family business? |
| (2) Since September 2009, has the household been affected by any of the following? 1-Yes 2-No | 2. Massive layoffs? | 7. Loss of cash or in-kind assistance? |
| | 3. General increase in prices? | 8. Fall in prices of products in the family business? |
| | 5. Lowered income of any member? | |

IF RESPONSES TO ALL QUESTIONS IN (1) WERE “2” GO TO (13), OTHERWISE PROCEED TO (2.1)

Write down the three most notable events according to respondent for each category of shocks

<table>
<thead>
<tr>
<th>LINE NUMBER</th>
<th>LINE NUMBER</th>
</tr>
</thead>
<tbody>
<tr>
<td>Write down the three most notable events according to respondent for each category of shocks</td>
<td></td>
</tr>
</tbody>
</table>

C. NATURAL

(1.1)
(1.2)
(1.3)

D. ECONOMIC

(2.1)
(2.2)
(2.3)

Choose from items 5-12 with response “yes” (Code 1) Write relevant “yes” Item No.

Analysis of Natural and Economic Shocks

Savings, Assets, Credit
- 1. Spent savings
- 2. Pawned the house or land
- 3. Pawned other properties
- 4. Sold the house or land
- 5. Borrowed from a government bank
- 6. Borrowed from a private bank
- 7. Borrowed from friends/neighbors
- 8. Borrowed from GSI/GSS

Reduction in Income/Expenses
- 1. Reduction in wages
- 2. Reduction in food consumption
- 3. Reduction in non-food consumption
- 4. Reduction in health expenses
- 5. Reduced  use of health products/services
- 6. Shifted to cheaper health products/services
- 7. Reduced non-food consumption
- 8. Shifted to cheaper non-food products/services
- 9. Reduced financial support from relatives
- 10. Reduced financial support from friends/neighbors
- 11. Received assistance from government
- 12. Received assistance from non-governmental organizations

CBMS Form 8
### C. Social Shocks

<table>
<thead>
<tr>
<th>Line Number</th>
<th>Event Description</th>
<th>Yes/No</th>
<th>Code</th>
</tr>
</thead>
</table>

**IF RESPONSES TO ALL QUESTIONS IN (15) WERE "2", GO TO (16). OTHERWISE PROCEED TO (15.1).**

### D. Life-Cycle Shocks

<table>
<thead>
<tr>
<th>Line Number</th>
<th>Event Description</th>
<th>Yes/No</th>
<th>Code</th>
</tr>
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<tbody>
<tr>
<td>16.1</td>
<td>Since September 2009, has the household been affected by any of the following? 1. Illness of a working member? 2. Illness of another member? 3. Casual accident or a working member? 4. Casual accident of another member?</td>
<td></td>
<td></td>
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</table>

**IF RESPONSES TO ALL QUESTIONS IN (16) WERE "2", END OF THIS SECTION. OTHERWISE PROCEED TO (16.1).**

**Please indicate the strategy adopted:**

- Choose from Items 19 to 26 with response "Yes" (Code 1). Write relevant Item No.
On the types of shocks and coping strategies captured

- Captures various types of shocks (i.e., natural, economic, social, life cycle) experienced by households
- Distinguishes which households were affected and not affected (i.e., some households may have experienced the shocks but were not affected in terms of reduced income or loss of assets)
- Captures severity since coping strategies are asked only in relation to the 3 major shocks that the households experienced BUT simultaneity with the rest of the shocks is not captured
THE PRE-TESTED QUESTIONNAIRE AND SOME LESSONS LEARNED

ON SEQUENCE AND COMBINATION OF SHOCKS AND COPING STRATEGIES

❖ Captures the coping strategies adopted by households in response to each type of shock **BUT** does not capture the simultaneity or combination of various types of shocks

❖ Captures the sequence of coping strategies **BUT** only the first two major strategies adopted in response to each type of shock

❖ Does not capture the sequence of shocks (*i.e.*, *there are no questions on the timing or order of shocks*)
THE PRE-TESTED QUESTIONNAIRE AND SOME LESSONS LEARNED

ON THE STRUCTURE OF THE QUESTIONNAIRE

- Going through the list of shocks and asking whether or not they have affected the household ensures that a specific shock has been explicitly probed or not

  BUT

- Time consuming (during the interview)

ON PHRASING THE QUESTIONS

- Words can be easily understood by the respondents
On Recall/reference period

- Respondents were able to recall significant shocks they experienced since 2009 as each type of shock listed in the questionnaire were mentioned during the interview

On attribution issue

- Captures all coping strategies adopted by households in response to each specific shock
Thank You!